

# Parallel QBF Solving: State of the Art Techniques and Future Perspectives

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## Quantified Boolean Formulas (QBF):

- Existential ( $\exists$ ) / universal ( $\forall$ ) quantification of propositional variables.
- Checking QBF satisfiability: PSPACE-complete.
- Potentially more succinct encodings than propositional logic.

### Example

- QBF  $\psi := \hat{Q}.\phi$  in *prenex conjunctive normal form (PCNF)*.
- $\psi = \underbrace{\forall u \exists x}_{\text{quantifier prefix}} \cdot \underbrace{(\bar{u} \vee x) \wedge (u \vee \bar{x})}_{\text{propositional CNF}}$ .
- Recursive semantics:
  - Assign variables in prefix ordering, recurse on simplified formula  $\psi[A]$  under assignment  $A$ .
  - Base cases:  $\perp$  is unsatisfiable,  $\top$  is satisfiable.
  - $\forall u.\psi$  is satisfiable iff  $\psi[u/\perp]$  **and**  $\psi[u/\top]$  are satisfiable.
  - $\exists x.\psi$  is satisfiable iff  $\psi[x/\perp]$  **or**  $\psi[x/\top]$  is satisfiable.

# Introduction: Sequential QBF Solving

## QCDCL: [GNT06, Let02, ZM02]

- Extension of *conflict-driven clause learning* (CDCL) for SAT solving.
- Derivation of new *learned clauses* and *cubes* (conjunctions of literals).
- Underlying proof system: *Q-resolution calculus* [KBKF95].

## Expansion: [AB02, Bie04, JKMSC16, JM15b, RT15]

- Successively eliminate variables from a QBF.
- Shannon expansion [Sha49]:  $\exists x.\phi(x, \dots) \equiv \phi(\perp, \dots) \vee \phi(\top, \dots)$
- Counter example guided abstraction refinement (CEGAR).

## QBF Proof Complexity:

- Recent results: orthogonality of proof systems [BCJ15, JM15a].
- Expansion vs. Q-resolution: exponential separation wrt. proof sizes.

## State of the Art Techniques:

- Two main parallel approaches, inspired by parallel SAT solving.
- Examples of solvers illustrating the main approaches.

## Challenges:

- Limitations of parallel solving due to prefix ordering.
- Proof generation.

## Future Perspectives:

- Proof complexity (orthogonality) as a motivation to parallelize.
- Experiments to highlight performance diversity of sequential solvers.

## Search Space Handling:

- Given a QBF with  $n$  variables,  $2^n$  possible assignments.
- Additionally, prefix ordering and quantifier types must be considered (cf. semantics).
- Approaches differ in how search space is explored.
- Generalizations of approaches to parallel SAT solving.

## Example

$$\psi = \forall u \exists x. (\bar{u} \vee x) \wedge (u \vee \bar{x}).$$

- Out of the  $2^2$  possible assignments,  $\{u, x\}$  and  $\{\neg u, \neg x\}$  are sufficient to show the satisfiability of  $\psi$ .

## Portfolio Approach:

- Run several instances of the same solver (or different ones) on the original formula.
- Problem: instances potentially explore same parts of the search space.
- Diversify solver instances by parameter settings.
- With(out) sharing of learned clauses and cubes.

# Main Parallel QBF Solving Approaches

## Splitting Approach:

- Instances by construction explore different parts of the search space.
- Parts are described by assignments that follow the prefix ordering.
- Instance gets original formula  $\psi$  and assignment  $A$ , and solves  $\psi[A]$ .
- Similar to *guiding path method* in parallel SAT solving.

## Example

$$\psi = \forall u \exists x. (\bar{u} \vee x) \wedge (u \vee \bar{x}).$$

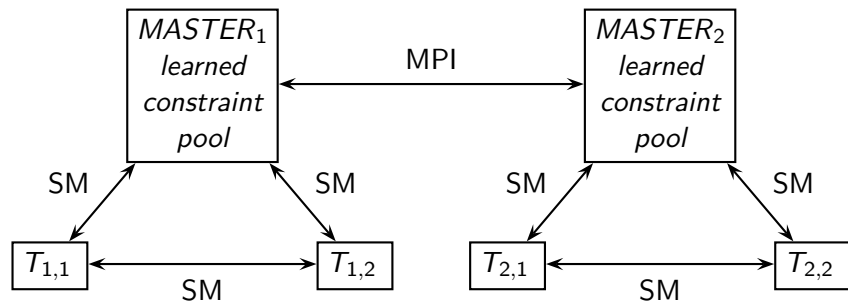
Possible splittings, starting from left end of prefix:

- $A = \{u\}$ , solver instance works on  $\psi[A] = \exists x.(x)$ .
- $A = \{\neg u\}$ , solver instance works on  $\psi[A] = \exists x.(\neg x)$ .

**Unsound** splittings:

- $A = \{x\}$ , solver instance works on  $\psi[A] = \forall u.(u)$ .
- $A = \{\neg x\}$ , solver instance works on  $\psi[A] = \forall u.(\neg u)$ .

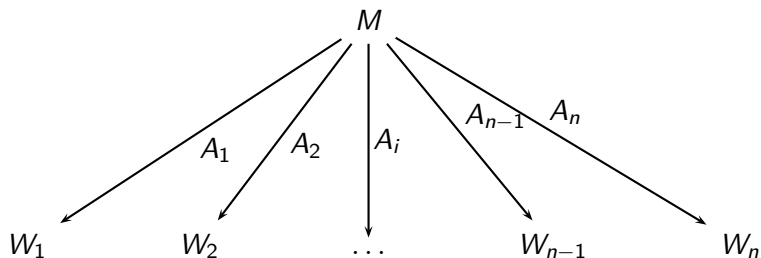
## Portfolio Approach Example: HordeQBF



- Massively parallel portfolio to run on distributed architectures [BL16].
- First published parallel portfolio (cf. sequential ones [PT09, SM07]).
- Extension of HordeSat [BSS15], supports any QCDCL solver.
- Hierarchical parallelism: shared memory and message passing.
- Master executes identical but diversified QCDCL solvers (threads).
- Master processes: exchange of learned constraints via MPI.



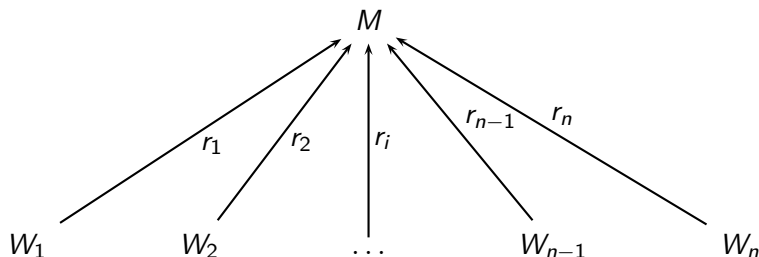
# Splitting Approach Example: MPIDepQBF



## Master $M$ :

- Splits search space by assignments  $A_i$ , calling workers  $W_i$ .
- Combines results obtained by workers, further splitting.
- Manages exchange of learned constraints (not part of MPIDepQBF).
- Solvers differ in splitting strategy, exchange of learned constraints, shared memory vs. message passing [FMS00, LSB09, LSB<sup>+</sup>11].

# Splitting Approach Example: MPIDepQBF

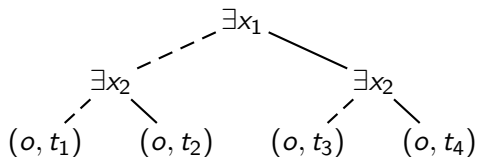


## Workers:

- Operate on original formula  $\psi$ , receive assignments  $A_i$  and timeout.
- Treat  $A_i$  as *assumptions* in QCDCL to solve  $\psi[A_i]$ .
- Solving  $\psi$  under assumptions  $A_i$ : re-use of learned constraints within each  $W_i$  in next run of QCDCL under different  $A'_i$ .
- Send result  $r_i$  back to master or request increased timeout.
- Exchange of learned constraints either via master or among workers.

# Splitting Approach Example

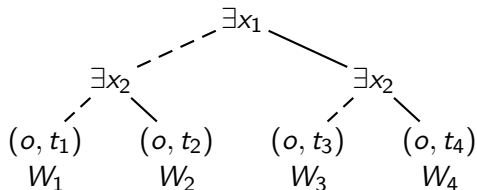
PCNF  $\psi := \exists x_1, x_2 \forall y_3 \exists x_4 \dots \phi$ .



Initially 4 idle workers, 4 open leaves (subcases) with individual timeouts  $t_i$ .

# Splitting Approach Example

PCNF  $\psi := \exists x_1, x_2 \forall y_3 \exists x_4 \dots \phi$ .

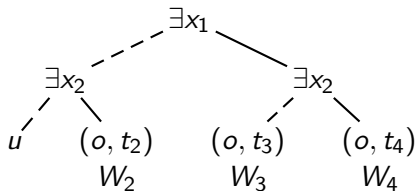


Assign open subcases to idle workers  $W_i$  by sending assumptions:

- $W_1$  works on  $\psi[\neg x_1, \neg x_2]$ .
- $W_2$  works on  $\psi[\neg x_1, x_2]$ .
- $W_3$  works on  $\psi[x_1, \neg x_2]$ .
- $W_4$  works on  $\psi[x_1, x_2]$ .

# Splitting Approach Example

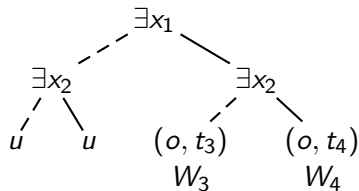
PCNF  $\psi := \exists x_1, x_2 \forall y_3 \exists x_4 \dots \phi$ .



$W_1$  returns “unsat” for subcase  $\psi[\neg x_1, \neg x_2]$  and becomes idle.

# Splitting Approach Example

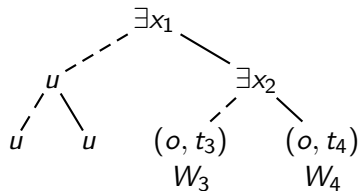
PCNF  $\psi := \exists x_1, x_2 \forall y_3 \exists x_4 \dots \phi$ .



$W_2$  returns “unsat” for subcase  $\psi[\neg x_1, x_2]$  and becomes idle.

# Splitting Approach Example

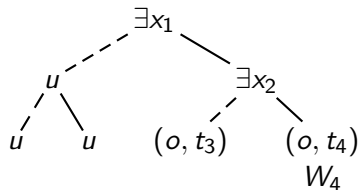
PCNF  $\psi := \exists x_1, x_2 \forall y_3 \exists x_4 \dots \phi$ .



Since  $\psi[\neg x_1, \neg x_2]$  and  $\psi[\neg x_1, x_2]$  unsatisfiable, also  $\psi[\neg x_1]$  unsatisfiable.

# Splitting Approach Example

PCNF  $\psi := \exists x_1, x_2 \forall y_3 \exists x_4 \dots \phi$ .

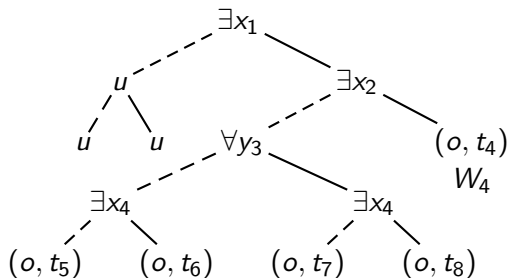


$W_3$  times out,  $W_1, W_2$  are idle, only 2 open leaves: generate new subcases.



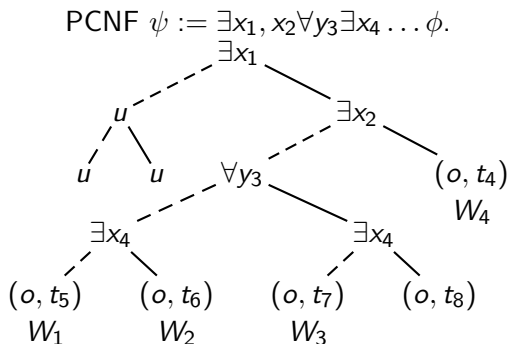
# Splitting Approach Example

PCNF  $\psi := \exists x_1, x_2 \forall y_3 \exists x_4 \dots \phi$ .



Replace open leaf  $(o, t_3)$  by binary tree based on  $\forall y_3$  and  $\exists x_4$ .

# Splitting Approach Example

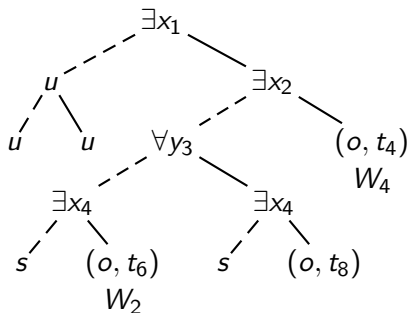


Assign open subcases to idle workers  $W_1$ ,  $W_2$ , and  $W_3$  by assumptions:

- $W_1$  works on  $\psi[x_1, \neg x_2, \neg y_3, \neg x_4]$ .
- $W_2$  works on  $\psi[x_1, \neg x_2, \neg y_3, x_4]$ .
- $W_3$  works on  $\psi[x_1, \neg x_2, y_3, \neg x_4]$ .

# Splitting Approach Example

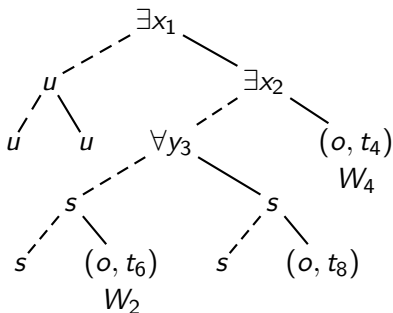
PCNF  $\psi := \exists x_1, x_2 \forall y_3 \exists x_4 \dots \phi$ .



$W_1$  and  $W_3$  return “sat” for  $\psi[x_1, \neg x_2, \neg y_3, \neg x_4]$  and  $\psi[x_1, \neg x_2, y_3, \neg x_4]$ .

# Splitting Approach Example

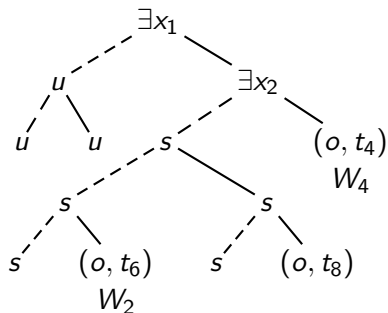
PCNF  $\psi := \exists x_1, x_2 \forall y_3 \exists x_4 \dots \phi$ .



Subcases  $\psi[x_1, \neg x_2, \neg y_3]$  and  $\psi[x_1, \neg x_2, y_3]$  are satisfiable.

# Splitting Approach Example

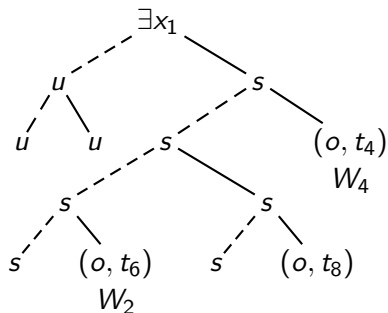
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Subcase  $\psi[x_1, \neg x_2]$  is satisfiable.

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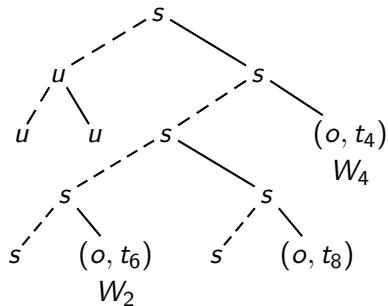
PCNF  $\psi := \exists x_1, x_2 \forall y_3 \exists x_4 \dots \phi$ .



Subcase  $\psi[x_1]$  is satisfiable.

# Splitting Approach Example

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Finally, conclude that  $\psi$  is satisfiable.

# Parallel QBF Solvers: Historical Perspective

<i>parallel QBF solver</i>	<i>base solver</i>	<i>QCDCL-based</i>	<i>portfolio</i>	<i>information sharing</i>	<i>process management</i>	<i>most recent paper</i>
Aspvall et al.	n.i.					1996 [ALLS96]
pcaqe	caqe	×	×	×	Pthreads	–
hiqerfork	DepQBF	✓	✓	×	fork	–
HordeQBF	DepQBF <sup>1</sup>	✓	✓	✓	MPI	2016 [BL16]
MPIDepQBF	DepQBF	✓	×	×	MPI	2014 [JKLS14]
par-pd-depqbf	DepQBF	✓	✓	×	fork	–
PAQuBE	QuBE	✓	×	✓	MPI	2011 [LSB <sup>+</sup> 11]
PQSolve	QSolve	~ <sup>3</sup>	×	×	MPI	2000 [FMS00]
PQSAT	QSAT	×	×	×	MPI	2010 [MNS10]
PQUABS	quabs	×	×	×	Pthreads	2016 [Ten16]
QMiraXT	MiraXT <sup>2</sup>	✓	×	✓	Pthreads	2009 [LSB09]

✓ yes/supported    × no/not supported    – unpublished    n.i. not implemented

<sup>1</sup> any QCDCL solver can be used

<sup>2</sup> parallel SAT-solving framework

<sup>3</sup> DPLL-based

- Comprehensive list of solvers (joint work w. Martina Seidl, JKU Linz).
- Publicly available: pcaqe, HordeQBF, MPIDepQBF, PQUABS.



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- Portfolio solvers.
- par-pd-depqbf: two solver instances, diversification by input formula.

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- Splitting solvers.
- Different (more complex) splitting and knowledge sharing strategies.

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- Aspvall et al.: not implemented, so far of theoretical interest only.
- Based on algorithm for restricted class of QBFs: only binary clauses.

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- PQUABS: based on expansion/CEGAR, allows prenex NNF input.
- pcaqe: based on expansion/CEGAR, parallelization of CEGAR.

## Linear Prefix Ordering:

- Limited potential of search space splitting (cf. QBF semantics).
- Difficult work load balancing (idle resources).

## Parallel Proof Generation:

- Generating and checking proofs in splitting/portfolio solvers.
- Sequential solving: QRAT proof system [HSB14, HSB17].

## Exploiting Solver Diversity:

- Portfolios: integrate orthogonal approaches (QCDCL vs. expansion).
- Problem: sharing learned information in such hybrid portfolios.
- Alternative diversification strategies: run workers on original/preprocessed formula, primal vs. dual encodings [VG13].

# Experiments (1/5)

## Benchmark Set:

- Set  $S_{402}$ : 402 out of 825 QBFEVAL'16 prenex CNF instances.
- Excluded ones: solved or propositional after preprocessing by Bloqqer.
- Limits: 1800 seconds, 7 GB memory.

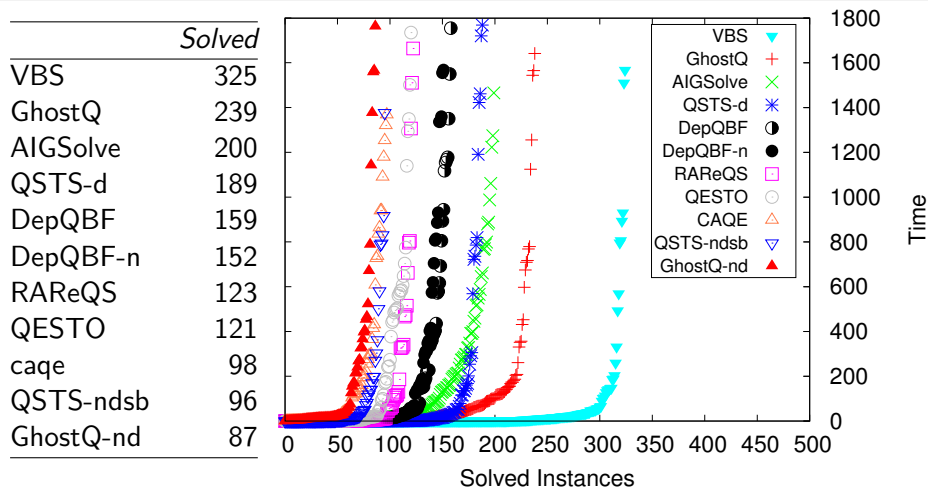
## Sequential QBF Solvers:

- Ten (variants of) solvers from QBFEVAL'16 that were top ranked.
- Solvers are based on **five different solving paradigms/proof systems**.

## Motivation:

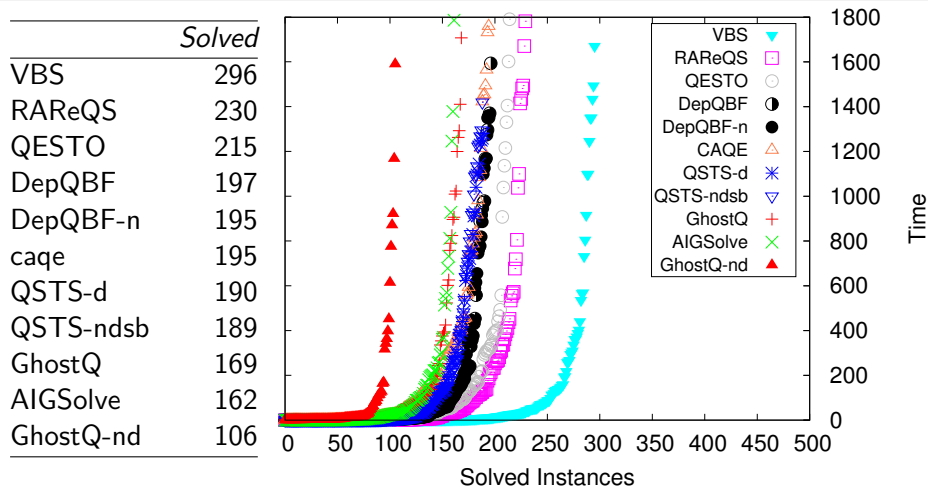
- Highlight the potential of parallel portfolios.
- Disclaimer: presented results are obtained under idealistic conditions.
- Virtual best solver (VBS): ideal portfolio where solving time of fastest **sequential** solver is attributed to VBS.

## Experiments (2/5)



- 402 instances **without** preprocessing.
- VBS solves 35% more instances than best solver.

## Experiments (3/5)



- 402 instances **with** preprocessing (harmful for some solvers).
- VBS solves 28% more instances than best solver, and becomes worse.

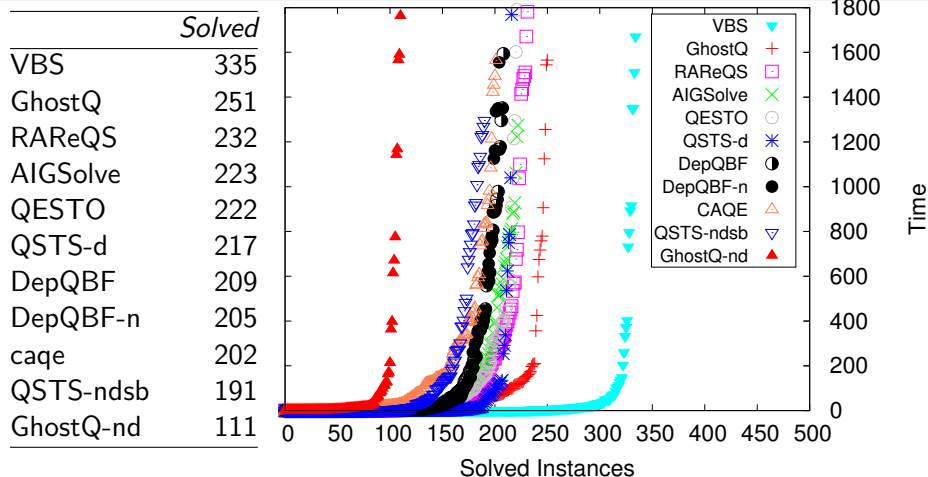


## Experiments (4/5)

**Table :** 402 preprocessed instances: instances solved by the virtual best solver (VBS) in classes by number of quantifier blocks ( $\#q$ ) and number of formulas ( $\#f$ ), and relative contribution (%) of solvers to instances solved by VBS.

$\#q$	$\#f$	VBS	RAReQS	QESTO	DepQBF	DepQBF-n	cage	QSTS-d	QSTS-ndsb	GhostQ	AlGSolve	GhostQ-nd
2	34	21	19.0	9.5	9.5	<b>23.8</b>	4.7	4.7	4.7	0.0	<b>23.8</b>	0.0
3	236	168	<b>49.4</b>	13.6	2.9	8.9	0.5	0.5	4.7	6.5	12.5	0.0
4-6	24	19	26.3	5.2	10.5	21.0	0.0	0.0	5.2	0.0	<b>31.5</b>	0.0
7-9	31	31	16.1	3.2	<b>35.4</b>	22.5	0.0	3.2	6.4	0.0	12.9	0.0
10-15	28	21	23.8	4.7	14.2	<b>38.0</b>	0.0	0.0	4.7	9.5	0.0	4.7
16-20	30	24	12.5	8.3	12.5	<b>25.0</b>	0.0	8.3	<b>25.0</b>	0.0	4.1	4.1
21-	19	12	16.6	0.0	0.0	<b>41.6</b>	0.0	25.0	16.6	0.0	0.0	0.0
2-3	270	189	<b>46.0</b>	13.2	3.7	10.5	1.0	1.0	4.7	5.8	13.7	0.0
4-	132	107	18.6	4.6	17.7	<b>28.0</b>	0.0	5.6	11.2	1.8	10.2	1.8
2-	402	296	<b>36.1</b>	10.1	8.7	16.8	0.6	2.7	7.0	4.3	12.5	0.6

# Experiments (5/5)



- “Best foot forward” analysis (cf. [LSVG16]).
- Select best solving time with(out) preprocessing.
- VBS solves more instances than individual VBS solvers (325 and 296).

# Summary and Outlook

## State of the Art Techniques:

- Inspiration from parallel SAT: early research on parallel QBF solving.
- QBF semantics as an obstacle: workload balancing, solver correctness.
- Only recent implementations publicly available.

## Future Perspectives:

- Proof complexity theory: motivation to combine approaches.
- Experiments: multiple solvers contribute to VBS that are based on **different solving paradigms and proof systems**.
- Hypothetical parallel portfolio outperforms best solver (+30%).
- Diversification: not only by solver parameters but also by input formula, e.g., with(out) preprocessing, . . .
- Proof generation remains challenging in sequential/parallel solving.

# *Appendix*

## [APPENDIX] Experiments

<i>Solver</i>	<i>S</i>	$\perp$	$\top$	<i>Time</i>
VBS	325	163	162	150K
GhostQ	239	112	127	313K
AIGSolve	200	96	104	389K
QSTS-d	189	88	101	397K
DepQBF	159	90	69	457K
DepQBF-n	152	89	63	467K
RAReQS	123	77	46	513K
QESTO	121	74	47	523K
caqe	98	52	46	564K
QSTS-ndsb	96	54	42	559K
GhostQ-nd	87	53	34	581K

(a) Set  $S_{402}$  (not preprocessed).

<i>Solver</i>	<i>S</i>	$\perp$	$\top$	<i>Time</i>
VBS	296	160	136	214K
RAReQS	230	127	103	335K
QESTO	215	117	98	358K
DepQBF	197	96	101	391K
DepQBF-n	195	98	97	392K
caqe	195	101	94	409K
QSTS-d	190	100	90	406K
QSTS-ndsb	189	100	89	408K
GhostQ	169	79	90	441K
AIGSolve	162	78	84	447K
GhostQ-nd	106	58	48	542K

(b) Set  $S'_{402}$  (preprocessed by Bloqqer).

Table : Solved instances ( $S$ ), solved unsatisfiable ( $\perp$ ) and satisfiable ones ( $\top$ ), and total wall clock time including time outs on sets  $S_{402}$  (2a) and  $S'_{402}$  (2b).

## [APPENDIX] Experiments

<i>Solver</i>	<i>S</i>	$\perp$	$\top$	<i>Time</i>
VBS	335	170	165	133K
GhostQ	251	115	136	289K
RAReQS	232	128	104	332K
AIGSolve	223	105	118	346K
QESTO	222	120	102	344K
QSTS-d	217	111	106	341K
DepQBF	209	102	107	370K
DepQBF-n	205	101	104	374K
caqe	202	104	98	392K
QSTS-ndsb	191	100	91	404K
GhostQ-nd	111	62	49	536K

- “Best foot forward” analysis.

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