# A Compact Representation for Syntactic Dependencies in QBFs

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#### Overview

**QBF:** Quantified Boolean Formulae.

**QDPLL:** DPLL-like QBF solvers, formulae in prenex CNF.

• decision order must respect "quantification order".

## Example (Dependencies in QBF)

 $\forall x \exists y. (x \lor \neg y) \land (\neg x \lor y)$  is satisfiable. Value of y depends on value of x.  $\rightarrow$  erroneously conclude unsatisfiability if y is assigned before x.

#### **Our Results:**

- given: syntactic dependency relation D.
- static and compact dependency graph (DAG) representing D.
- graph is applicable to QBF solvers of any kind.
- in QDPLL: find assignable variables before decision-making.
- experiments: structured formulae from QBFEVAL 2005 2008.

## **Preliminaries**

#### QBFs in Prenex CNF: $S_1 \dots S_n$ . $\phi$

- $\phi$  in CNF and quantifier-free, quantified variables  $V = V_{\exists} \cup V_{\forall}$ .
- scopes  $S_1 < \ldots < S_n$ , ordered by nesting  $\delta(S_i) = i$ , type  $q(S_i) \in \{ \forall, \exists \}$ .

## Dependency Schemes [SamerSzeider-JAR'09]:

- relation  $D \subseteq (V_{\exists} \times V_{\forall}) \cup (V_{\forall} \times V_{\exists})$ .
- $y \in D(x)$ : "y depends on x", i.e. assign x before y in QDPLL.
- $|D_1| < |D_2|$ :  $D_1$  less restrictive, i.e. more freedom for decisions in QDPLL.

## Example (Trivial Dependency Scheme)

$$D^{\text{triv}}$$
:  $y \in D^{\text{triv}}(x) \Leftrightarrow \delta(x) < \delta(y)$  and  $q(x) \neq q(y)$ .

# Standard Dependency Scheme Dstd [SamerSzeider-JAR'09]

## Definition (X-path)

For  $x, y \in V$ ,  $X \subseteq V$ , an X-path between x and y is a sequence  $C_1, \ldots, C_k$  of clauses where  $x \in C_1$ ,  $y \in C_k$  and  $C_i \cap C_{i+1} \cap X \neq \emptyset$  for  $1 \leq i < k$ .

- For  $x \in V$ : if  $q(x) = \exists$  then  $\overline{q(x)} := \forall$  and  $\overline{q(x)} := \exists$  otherwise.
- For a QBF and  $q \in \{\exists, \forall\}$ :  $V_{q,i} := \{y \in V_q \mid i \leq \delta(y)\}$ .

## Definition (Standard Dependency Scheme)

For  $x \in V$ ,  $i = \delta(x) + 1$ :  $D^{\text{std}}(x) = \{y \in V_{\overline{q(x)},i} \mid \text{there is an } X\text{-path between } x \text{ and } y \text{ for } X = V_{\exists,i}\}.$ 

- $D^{\text{std}}(x)$  contains all differently quantified, *larger y* which are connected to x over *existential* variables *larger* than x.
- Observe:  $|D^{std}| < |D^{triv}|$ .

# Goal: A Compact Graph for D<sup>std</sup>

**In Practice:** computing *full D*<sup>std</sup> in  $O(|V|.|\phi|)$  time.

- traversing clauses in  $\phi$  for each  $x \in V$ .
- too expensive to be done dynamically at decision points within QDPLL.

#### Our Work:

- static and compact graph representation (DAG) for D<sup>std</sup>.
- graph is built once, serves as over-approximation for exact D<sup>std</sup>.
- classes of variables represent connection information.
- connection information is shared between variables.

## Towards a Graph Representation: Connections

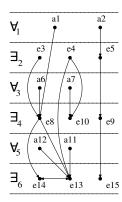
#### Definition (Variable Connection)

For  $x, y \in V$ , x is connected to y wrt. scope  $S_i$   $(x \to_i y)$  iff.  $y \in V_{\exists}$ ,  $i \le \delta(y)$  and  $x, y \in C$  for  $C \in \phi$ . Relation  $\to_i^*$  is the refl. trans. closure of  $\to_i$ .

## Example (ongoing)

i	$q(S_i)$	$S_i$	(a2, e5, e9)		
1	A	a1, a2	(e5, e9, e15)		
2	3	e3, e4, e5	(e3, e8, e13)		
3	A	a6, a7	(e4, a7, e10)		
4	3	e8, e9, e10	(e4, e13, e14)		
5	A	a11, a12	(a1, a6, e8, e14)		
6	3	e13, e14, e15	(a11, a12, e13)		

- trans. edges not shown.
- e3  $\rightarrow$ 4 e8 but e3  $\not\rightarrow$ 5 e8.
- e3  $\to_2^*$  e14 and also e14  $\to_2^*$  e3.



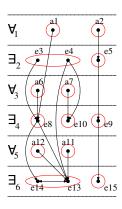
## From Connections to Classes

#### Definition (Equivalence)

For  $x, y \in V$ , x is equivalent to y ( $x \approx y$ ) iff. either (1) x = y or (2)  $q(x) = q(y) = \exists$ ,  $\delta(x) = \delta(y) = i$  and  $x \to_i^* y$ .

## Example (continued)

- e3  $\approx$  e4 since  $q(e3) = q(e4) = \exists$ ,  $\delta(e3) = \delta(e4) = 2$  and e3  $\rightarrow_2^*$  e4.
- e5 ≈ e4 because e5 →<sub>2</sub>\* e4.
- trivially a11  $\approx$  a11 and e3  $\approx$  e14.
- $\rightarrow_i^*$  on  $\approx$  potentially more compact.
- $[x] \rightarrow_i^* [y]$ : connection between classes.



# Representing Class Connections: Theory

**Goal:** compact representation of *all* existential class connections for  $D^{\text{std}}$ .

**Problem:** with  $\rightarrow_i^*$  on  $\approx$  still need to search for connected classes.

## **Definition (Directed Connection)**

For  $x \in V$ ,  $y \in V_{\exists}$ ,  $[x] \leadsto^* [y]$  iff.  $\delta(x) \le \delta(y)$  and  $x \to_i^* y$  for  $i = \delta(x)$ . Relation  $\leadsto$  is the refl. trans. reduction of  $\leadsto^*$ .

•  $[x] \leadsto^* [y]$  respects scope ordering, excludes variables smaller than x.

#### Lemma (Connection Forest, C-Forest)

For  $V_{\exists}$ ,  $\rightsquigarrow$  can be represented as a forest.

C-Forest: compact representation of all existential connections.

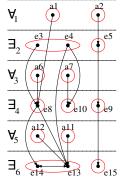
• no more searching: classes are connected to all of their descendants.

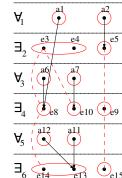
# Representing Class Connections: Example

## Example (continued)

Right figure:

- $\rightsquigarrow$  on  $V_{\exists}$ : c-forest.
- [e3] → [e8]
- [e8] → [e14]
- [e3] →\* [e14]
- also [e4] →\* [e14]
   since [e4] = [e3]





**Practical Problem:** how to find connected descendants of  $\boldsymbol{x}$  in c-forest?

**Essentially:** need set of "root classes" for each  $x \in V$ .

- descendants of root classes exactly represent all connections of x.
- computing D<sup>std</sup>: c-forest + root classes.

## Root Classes and Descendants

**Finding Root Classes:** finding smallest ancestors in c-forest.

## Definition (Smallest Ancestor)

For  $y \in V_{\exists}$ ,  $i \le \delta(y)$  and the c-forest, h(i, [y]) = [y'] denotes the smallest ancestor of [y] in the c-forest such that  $i \le \delta(y')$ .

#### Definition (Root Classes)

For  $x \in V$ ,  $H_i(x) := \{[z] \mid [z] = h(i, [y]) \text{ for } [y] \text{ where } x \to_i y\}$  is the set of root classes of x with respect to scope  $S_i$ .

• Finding root classes  $H_i(x)$  starting from clauses containing x.

#### Definition (Root Class Descendants)

For  $x \in V$ ,  $H_i^*(x) := \{[y] \mid [z] \leadsto^* [y] \text{ for } [z] \in H_i(x)\}$  is the set of root class descendants of x with respect to scope  $S_i$ .

Sets H<sub>i</sub><sup>\*</sup> are sufficient for computing D<sup>std</sup> from c-forest.

## Completing the Dependency Graph

## Theorem (D<sup>std</sup> by Checking Root Class Decendants)

For 
$$x \in V$$
,  $i = \delta(x) + 1$ :  
 $D^{\text{std}}(x) = \{ y \in V_{\overline{q(x)},i} \mid H_i^*(x) \cap H_j^*(y) \neq \emptyset \text{ for } j = \delta(y) \}.$ 

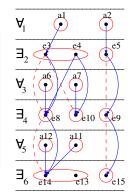
## Example (continued)

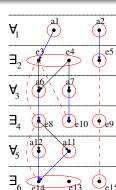
- left figure: blue edges  $\rightarrow$  as sets  $H_i(x)$  for  $i = \delta(x) + 1, x \in V$ .
- right figure: D<sup>std</sup> graph
- implicitly:

 $e15 \in D^{std}(a2)$ 

e13  $\in D^{\text{std}}(a1)$ 

 $e13 \in D^{std}(a11)$ 





**Graph for** D<sup>std</sup>: c-forest as core

- already present: for  $x \in V_{\forall}$ ,  $i = \delta(x) + 1$ :  $D^{\text{std}}(x) = H_i^*(x)$
- for  $x \in V_{\exists}$ : insert edges to represent  $D^{\text{std}}(x)$

# **Experimental Results**

	QBFEVAL'05	QBFEVAL'06	QBFEVAL'07	QBFEVAL'08
size	211	216	1136	3328
total time	7.94	1.35	227.05	300.31
max. time	0.58	0.03	7.96	8.11
avg. time	0.04	0.01	0.2	0.09
$x \in V_{\forall}$				
$max.  D^{std}(x) $	256535	9993	2177280	2177280
avg. $ D^{\text{std}}(x) $	82055.87	4794.60	33447.6	19807
$max.  H_i(x) $	256	1	518	518
avg. $ H_i(x) $	3.26	0.98	2.02	1.14
$max.  H_i^*(x) $	797	5	797	1872
avg. $ H_i^*(x) $	19.51	1.12	39.06	8.24
avg. $\frac{ \{[y] \in D^{\text{std}}(x)\} }{ \{y \in D^{\text{std}}(x)\} }$	3.44%	0.04%	6.42%	1.21%
$x \in V_{\exists}$				
$max.  D^{std}(x) $	5040	440	5040	22696
avg. $ D^{\text{std}}(x) $	12.76	2.98	3.24	4
$max.  H_i(x) $	24	7	490	490
avg. $ H_i(x) $	0.14	0.13	0.17	0.13
max. $ H_i^*(x) $	797	7	797	1872
avg. $ H_i^*(x) $	5.16	0.16	1.32	1.31
avg. $\frac{ \{[y] \in D^{\text{std}}(x)\} }{ \{y \in D^{\text{std}}(x)\} }$	2.37%	0.4%	2.76%	2.09%
classes per variables	10.96%	4.99%	11.45%	7.11%

## Summary

#### **QDPLL for QBF:** quantification order matters.

limited freedom for decisions.

## **Dependency Schemes:**

- dependency relations  $D \subseteq (V_{\exists} \times V_{\forall}) \cup (V_{\forall} \times V_{\exists})$ .
- $y \in D(x)$ : assign x before y in QDPLL.
- Standard Dependency Scheme *D*<sup>std</sup>: based on variable connections.

## **Achievements:** static, compact graph representation $D^{\text{std}}$ for QBF in PCNF.

- compactness: connection relation on equivalence classes.
- c-forest: sharing connection information.
- two orders of magnitude more compact than simple graph.

## **Ongoing and Future Work:**

- integration into QDPLL: maintaing top-down "decision frontier".
- comparing dependency schemes in QDPLL.

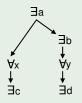
# [Appendix] Mini-scoping is non-deterministic

## Example

$$\exists a, b \forall x, y \exists c, d. (a \lor x \lor c) \land (a \lor b) \land (b \lor d) \land (y \lor d)$$

After minimizing  $\exists c, \exists d, \forall x \text{ and } \forall y, \textit{non-deterministic}$  choice:

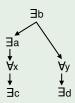
1. minimize  $\exists b$  before  $\exists a$ 



Extract *D*<sup>tree</sup> from parse tree (descendants):

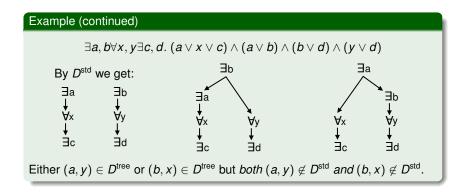
1. 
$$D^{\text{tree}} = \{(a, x), (x, c), (a, y), (b, y), (y, d)\}$$

2. minimize  $\exists a$  before  $\exists b$ 



2. 
$$D^{\text{tree}} = \{ (b, x), (a, x), (x, c), (b, y), (y, d) \}$$

# [Appendix] D<sup>std</sup> less restrictive than D<sup>tree</sup>



## [Appendix] Dependency Computation

#### **Theorem**

For 
$$x \in V$$
,  $i = \delta(x) + 1$ :

$$D^{\text{std}}(x) = \{ y \in V_{\overline{q(x)},i} \mid \exists w \in V_{\exists,i} : x \to_i^* w \text{ and } y \to_i^* w \}$$

$$= \{ y \in V_{\overline{q(x)},i} \mid \exists w \in V_{\exists,i} : x \to_i^* [w] \text{ and } [y] \to_i^* [w] \}$$

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$$(3)$$

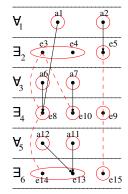
# [Appendix] Root Classes: Example

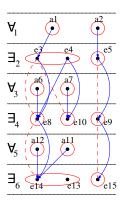
## Example (continued)

Blue edges  $\rightarrow$ : sets  $H_i(x)$  for  $i = \delta(x) + 1$ ,  $x \in V$ .

i	$q(S_i)$	$S_i$	
1	A	a1, a2	
2	3	e3, e4, e5	
3	A	a6, a7	
4	3	e8, e9, e10	
5	A	a11, a12	
6 ∃		e13, e14, e15	

(a2, e5, e9) (e5, e9, e15) (e3, e8, e13) (e4, a7, e10) (e4, e13, e14) (a1, a6, e8, e14) (a11, a12, e13)





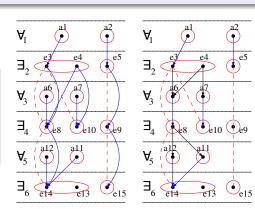
## [Appendix] Completing the Dependency Graph

## Theorem (D<sup>std</sup> by Checking Root Class Decendants)

For 
$$x \in V$$
,  $i = \delta(x) + 1$ :  
 $D^{\text{std}}(x) = \{ y \in V_{\overline{q(x)},i} \mid H_i^*(x) \cap H_j^*(y) \neq \emptyset \text{ for } j = \delta(y) \}.$ 

## Example (continued)

- right figure: D<sup>std</sup> graph
- implicitly:  $e15 \in D^{std}(a2)$   $e13 \in D^{std}(a1)$ 
  - e13  $\in D^{\text{std}}(a11)$



## Graph for $D^{\text{std}}$ :

- already present: for  $x \in V_{\forall}$ ,  $i = \delta(x) + 1$ :  $D^{\text{std}}(x) = H_i^*(x)$
- for  $x \in V_{\exists}$ : insert (non-transitive) edges to represent  $D^{\text{std}}(x)$

## [Appendix] Completing the Dependency Graph

## Theorem (D<sup>std</sup> by Checking Root Class Decendants)

$$\begin{aligned} & \textit{For } x \in \textit{V}, i = \delta(x) + 1: \\ & \textit{D}^{\textit{std}}(x) = \{ y \in \textit{V}_{\overline{q(x)},i} \mid \textit{H}_{i}^{*}(x) \cap \textit{H}_{j}^{*}(y) \neq \emptyset \textit{ for } j = \delta(y) \}. \end{aligned}$$

## Example (continued)

- right figure: Dstd graph
- implicitly:

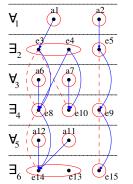
$$e15 \in D^{std}(a2)$$

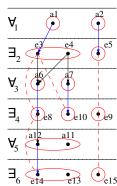
$$e13 \in D^{std}(a1)$$

e13 
$$\in D^{\text{std}}(a11)$$

a11 
$$\in D^{\text{std}}(e8)$$

**Optimization:** merging universal classes [a11], [a12] with same  $H_i$ .





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